

FORM <b>BE-80(A) (Report for Consolidated U.S. Enterprise)</b> U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS <b>MANDATORY — CONFIDENTIAL</b> <b>BENCHMARK SURVEY OF FINANCIAL SERVICES TRANSACTIONS BETWEEN U.S. FINANCIAL SERVICES PROVIDERS AND UNAFFILIATED FOREIGN PERSONS — 1999</b>		Public reporting burden for this collection of information (consisting of Form BE-80(A) and, as appropriate, one or more Forms BE-80(B)) is estimated to vary from 4 to 150 hours per response, with an average of 7 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate, including suggestions for reducing this burden, to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0062, Washington, DC 20503.								
		<b>BEA USE ONLY</b>		Control number			0	0	0	A
		<b>Part I NAME, ADDRESS, AND DETERMINATION OF REPORTING STATUS</b>								
		<b>1. Name and address of the consolidated U.S. enterprise —</b> <i>Enter or correct as necessary.</i>								
<b>MAIL REPORTS TO</b>	U.S. Department of Commerce Bureau of Economic Analysis BE-50(SSB) Washington, DC 20230									
<b>OR</b>										
<b>DELIVER REPORTS TO</b>	U.S. Department of Commerce Bureau of Economic Analysis BE-50(SSB) Shipping & Receiving, Room M-100 1441 L Street, NW Washington, DC 20005									
<p>Form BE-80(A) covers all subsidiaries and parts of the consolidated U.S. enterprise that are financial services providers or intermediaries. If you are not the top U.S. parent corporation in the consolidated enterprise, you may need to contact your top parent corporation to ensure that the information provided is for all reportable units of the enterprise combined.</p> <p><b>A. Due date</b> — A completed Form BE-80, consisting of Form BE-80(A) and, as appropriate, one or more Forms BE-80(B), is due March 31, 2000.</p> <p><b>B. What to file</b> — All persons who receive copies of this survey must complete Part I of Form BE-80(A). All persons subject to the mandatory reporting requirement must also complete Part II of Form BE-80(A), and Parts I and II and the mandatory section(s) of the appropriate schedule(s) of Form BE-80(B). Persons who are exempt from the mandatory reporting requirement but elect to report data voluntarily are asked also to complete Part II of Form BE-80(A), and Parts I and II and the voluntary section(s) of the appropriate schedule(s) of Form BE-80(B). Persons who receive this form but are exempt from mandatory reporting and do not wish to report data voluntarily must complete Part I of Form BE-80(A) and the Exemption Claim. In order to facilitate survey processing, respondents should file a single packet consisting of Form BE-80(A) and all Forms BE-80(B) for their enterprise.</p> <p><b>C. Assistance</b> — Telephone (202) 606-5588 between 8:00 a.m. and 4:00 p.m., eastern time for assistance or additional copies of the forms.</p> <p><b>D. Original and file copies</b> — You should file with BEA a single original copy of the survey (including Form BE-80(A) and, as appropriate, one or more Forms BE-80(B)). Please use the copy with the address label if such a labeled copy has been provided. You must retain a copy of each report to facilitate resolution of problems.</p> <p><b>E. Where to send the report</b> — Reports filed by mail through the U.S. Postal Service should be sent to U.S. Department of Commerce, Bureau of Economic Analysis BE-50(SSB), Washington, DC 20230. Reports filed by direct private delivery should be directed to U.S. Department of Commerce, Bureau of Economic Analysis BE-50 (SSB), Shipping and Receiving Section, Room M-100, 1441 L Street, NW, Washington, DC 20005.</p> <p><b>F. Estimates</b> — If actual figures are not available, supply estimates and label them as such. When data items cannot be fully subdivided as required, report totals and an estimated breakdown of the totals.</p>										
<b>PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS FORM BE-80(A) REPORT — Enter name and telephone number.</b>				<b>CERTIFICATION</b> — The undersigned official certifies that this report has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with VII.G of the <b>Instruction Booklet</b> , estimates have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.						
Name				Authorized official's signature						
<b>TELEPHONE NUMBER</b>	Area code	Number	Extension	Print or type name and title				Date		

## 2. Determination of reporting status

A U.S. person that is a financial services provider (or whose consolidated enterprise includes a subsidiary, or part, that is a financial services provider) may be required to report. A BE-80 report (consisting of a Form BE-80(A) and one or more Forms BE-80(B)) **must** be filed if transactions (either sales or purchases) with unaffiliated foreign persons in all types of covered financial services combined (see V. of the **Instruction Booklet**) by all financial services providers within a consolidated U.S. enterprise exceed \$3 million during the U.S. person's fiscal year 1999. The \$3 million threshold applies separately to sales and purchases. The mandatory reporting requirement may apply only to sales, only to purchases, or to both. In determining reporting status, you may use estimates of sales and purchases that are not based on a search of accounting records. Estimates may be based on the recollection of knowledgeable persons within the company who are in a position to know whether the company engaged in any significant transactions in financial services during the year and the approximate total value of such transactions.

If your total transactions (either sales or purchases) with unaffiliated foreign persons in all types of financial services combined, by all financial services providers within your enterprise, are \$3 million or less during fiscal year 1999, you may voluntarily report either:

- Estimated totals by type of service only on line 20 of Schedule A and/or B of Form BE-80(B); or
- Totals by type of service and a breakout by country in the mandatory section of Form BE-80(B).

**NOTE: Because the \$3 million threshold applies separately to sales and purchases, voluntary reporting may apply only to sales, only to purchases, or to both.**

Filing options:

- A separate Form BE-80(B) may be filed for each separately organized financial services subsidiary, or part, of a consolidated U.S. enterprise; or
- A single Form BE-80(B) may be filed combining all covered transactions by all financial services subsidiaries, or parts, of a U.S. enterprise.

**In either case, the Determination of Reporting Status below should be based on the fully consolidated U.S. enterprise, including the top U.S. parent and all of its U.S. subsidiaries and parts.**

## Reporting status

Mark (X) one:

10001

**1** ☐ The consolidated U.S. enterprise (including the top parent and all of its U.S. subsidiaries and parts, whether reporting together or separately) is required to report data in the mandatory section of at least one Form BE-80(B).

**2** ☐ The consolidated U.S. enterprise (including the top parent and all of its U.S. financial subsidiaries and parts, whether reporting together or separately) is not required to report data in the mandatory sections of Form BE-80(B) but elects to report data voluntarily.

**3** ☐ The consolidated U.S. enterprise is not reporting data in either the mandatory or voluntary section of Form BE-80(B). — *Complete the Exemption Claim on page 3; SKIP item 3 of this Part.*

*Proceed to item 3 of this Part.*

**3. Employer Identification Number (EIN) of the consolidated U.S. enterprise.**

Primary EIN —

10002			—						
-------	--	--	---	--	--	--	--	--	--

Additional EIN (if available) —

10003			—						
-------	--	--	---	--	--	--	--	--	--

[illegible]

**Part II LIST OF ALL FINANCIAL SERVICES PROVIDERS REPORTING SEPARATELY**

Complete Part II only if you marked (X) box 1 or box 2 in Part I, item 2. List below the name(s) of the financial services providers within the consolidated U.S. enterprise that are reporting separately on Form BE-80(B). If you are filing only a single Form BE-80(B) covering all financial services providers of the consolidated U.S. enterprise combined, only one entry should appear below. Use additional copied sheets to continue listing as necessary.

BEA USE ONLY		Name(s) of U.S. Reporters filing separate Form(s) BE-80(B) —
10004	<div>1<div></div></div>	1.
10005	<div>1<div></div></div>	2.
10006	<div>1<div></div></div>	3.
10007	<div>1<div></div></div>	4.
10008	<div>1<div></div></div>	5.
10009	<div>1<div></div></div>	6.
10010	<div>1<div></div></div>	7.
10011	<div>1<div></div></div>	8.
10012	<div>1<div></div></div>	9.
10013	<div>1<div></div></div>	10.

**EXEMPTION CLAIM**

**Complete this Exemption Claim only if you marked (X) box 3 in Part I, item 2.**

Neither the **consolidated U.S. enterprise** nor any of its subsidiaries or parts is reporting any data on any Form BE-80(B) because the consolidated enterprise:

Mark (X) appropriate box.

- 10014 

1

☐ Was not in existence at any time during the reporting period.
- 2

☐ Had no subsidiaries or parts that were financial services providers.
- 3


☐ Had no transactions, either sales or purchases, of the types of financial services covered, with unaffiliated foreign persons.
- 4

☐ Had transactions, either sales or purchases, of the types covered, but is not subject to the mandatory reporting requirement, because neither its sales nor its purchases of financial services exceeded \$3 million (*See I.A.1. of the Instruction Booklet* — Exemption is based upon sales or purchases of financial services by **all** subsidiaries, or parts, of the consolidated U.S. enterprise that are financial services providers combined). The U.S. enterprise does not wish to report the data voluntarily. The total amount of the transactions with unaffiliated foreign persons by this consolidated U.S. enterprise, for all financial services combined, was approximately —

Report in thousands of U.S. dollars	
Sales	Purchases
1	2
\$	\$

10015

- 5

☐ Other — *Specify* 

**NOTE**

If you marked (X) a box in this Exemption Claim, your enterprise should not complete any Forms BE-80(B).